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EXAMINER

BASI, NIRMAL SINGH

ART UNIT PAPER NUMBER

1646

DATE MAILED: 02/24/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/995,542

**Applicant(s)**

SHUTTER ET AL.

**Examiner**

Nirmal S. Basi

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 29 November 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-58 is/are pending in the application.
- 4a) Of the above claim(s) 1-8, 10-12, 18-37, 44-46 and 49-58 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 9, 13-17, 38-43, 47-48 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 24 November 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 3/30/03, 2/12/03.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

### **DETAILED ACTION**

1. Amendment filed 11/29/04 has been entered.
2. The Information Disclosure Statements filed 5/30/03 and 2/12/03 have been considered.
3. Applicant's election with traverse of Group II (Claims 19, 13-17, 38-43, 47 and 48) pertaining to the protein of SEQ ID NO:5 on 11/29/04, is acknowledged. The traversal is on the ground(s) that there will be no undue hardship on the Office in performing a search with respect to polypeptides having the amino acid sequences set forth in SEQ ID NO: 5 and SEQ ID NO: 6. These amino acid sequences differ only in that the signal peptide i.e. the first 46 amino acid residues at the N-terminus) is retained in the amino acid sequence of SEQ ID NO: 5 and the signal peptide is cleaved from the amino acid sequence of SEQ ID NO: 6. These sequences otherwise share 100% identity. Applicants contend that because a search with respect to the amino acid sequence of SEQ ID NO: 5 or SEQ ID NO: 6 would necessarily uncover all art that is pertinent to the non-elected sequence, there would be no undue hardship on the Office in performing a search with respect to both amino acid sequences. Applicants' arguments have been fully considered and are found persuasive. The polypeptide of SEQ ID NO:5 and SEQ ID NO:6 will be examined together.

Applicants also submit that there will be no undue hardship on the Office in performing a search with respect to polypeptides having the amino acid sequences set forth in SEQ ID NOs: 5, 6 (full-length and soluble human ABCL polypeptides SEQ ID NOs: 5 and 6) and SEQ ID NO:8 (variant). Applicants

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contend that in view of the substantial amino acid identity and similarity shared by these polypeptides, a search with respect to the amino acid sequence of SEQ ID NO: 8 would necessarily uncover all art that is pertinent to the amino acid sequence of SEQ ID NO: 5 or 6, and therefore, that there would be no undue hardship on the Office in performing a search with respect to the amino acid sequences set forth in SEQ ID NOs: 5, 6, and 8. Applicants further submit that there will be no undue hardship on the Office in performing a search with respect to polypeptides having the amino acid sequences set forth in SEQ ID NOs: 2, 3, 5, 6, and 8. Applicants contend that in view of the substantial amino acid identity and similarity shared by the ABCL polypeptides one of ordinary skill in the art would clearly recognize that the full-length polypeptides set forth in SEQ ID NOs: 2 and 5, and the soluble polypeptides set forth in SEQ ID NOs: 3 and 6 would not impose no undue hardship on the Office in performing a search with respect to these polypeptides. Applicants' arguments have been fully considered and are not found persuasive. A search of SEQ ID NOs: 2, 3, and 8 would not be co-extensive with the search for SEQ ID NOs: 5 and 6, particularly with regard to the literature search. The activity of the protein/polypeptide of SEQ ID NOs: 2, 3, 5, 6, and 8 is not disclosed. The substrate transported by the polypeptide of SEQ ID NOs: 2, 3, 5, 6, and 8 is unknown. There is no disclosure showing that the polypeptides of SEQ ID NOs: 2, 3 and 8 have the same transport or substrate specificity as compared to the polypeptide of SEQ ID NOs: 5 and 6. Also there is no disclosure showing that the polypeptides of SEQ ID NOs: 2, 3 and 8 have the same activity and cellular signaling properties as the polypeptide of SEQ ID NOs:

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5 and 6. The diversity in substrate specificity and cellular function of ABC transporters is disclosed in the specification (page 2). An examination of the materially different, patentably distinct inventions in a single application would constitute a serious undue burden on the examiner.

Further, Applicant is required to cancel/amend elected claims pertaining to non-elected invention, i.e. relating to the polypeptide of SEQ ID NOs: 2, 3 and 8. Also, Applicant is required to cancel/amend elected claims pertaining to non-elected invention, i.e. relating to the DNA insert of the ATCC Deposit encoding the polypeptide of SEQ ID NO:5 and 6. Based on the specification it is not clear which of the clones in ATCC Deposit Nos. PTA-3109, PTA-3110 or PTA-3111 encode the polypeptide of SEQ ID NOs:5 and 6. Applicant must clearly indicate which ATCC Deposit Nos. contains the insert encoding the polypeptide of SEQ ID NOs:5 and 6 and amend or cancel the claims pertaining to ATCC Deposit Nos. encoding polypeptides not contained in Group II.

The requirement is still deemed proper and is therefore made FINAL.

### **Objections**

The disclosure is objected to because of the following informalities:

4. Applicants are required to use the heading "Brief Description of the Drawings" to describe the drawings. See MPEP 608.01(f). On page 8, Applicant has written "Brief Description of the Figures"

Appropriate correction is required.

***Claim Rejections - 35 USC § 112, second paragraph***

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The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 9, 13-17, 38-43, 48 and 48 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1, 3-14, 20-26, 34, 37 and 40-41 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 9 is indefinite because it depends on a non-elected invention of claim 8.

Claim 13 is indefinite because it is not clear which amino acid sequences are orthologs of SEQ ID NO:5. The specification states the term ABCL polypeptide ortholog refers to a polypeptide from another species that corresponds to ABCL polypeptide amino acid sequence as set forth in any of SEQ ID NO: 2, SEQ ID NO: 5, or SEQ ID NO: 8. For example, mouse and human ABCL polypeptides are considered orthologs of each other. It is not clear what structural and functional features of the polypeptide of SEQ ID NO:5 and 6 must be retained in a polypeptide that corresponds to the polypeptide of SEQ ID NO:5 and 6 for it to be considered an ortholog. The "corresponding" features are not disclosed so as to allow the metes and bounds of the claim to be determined.

Claims 13 and 14 are indefinite because it is not clear which DNA inserts in ATCC Deposit Nos. PTA-3109, TA-3110 or PTA-3111 encode the polypeptide

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of SEQ ID NO:5 so as to allow the metes and bounds of the claim to be determined. Further claims pertaining to DNA inserts in ATCC Deposit that encode non-elected invention must be amended or cancelled.

Claim 14 is indefinite because it is not clear when an amino acid sequence is "at least about 70% identical" to the amino acid sequence of SEQ ID NO:5 so as to allow the metes and bounds of the claim to be determined. When is a amino acid sequence "at least about 70% identical" to the amino acid sequence of SEQ ID NO:5" as compared to not to at least about "at least about 70% identical" to the amino acid sequence of SEQ ID NO:5. It is suggested the word "about" be removed to overcome the rejection.

Claims 14, 15 and 16 are indefinite because it is not what activity the claimed polypeptide possesses and what activity is contained in the polypeptide of SEQ ID NO:5 so as to allow the metes and bounds of the claim to be determined. . The polypeptide of SEQ ID NO:5 is disclosed to be an ATP-binding transporter-like polypeptide with no disclosed activity or function.

Claim 14 is indefinite because it is not clear when a fragment of the amino acid sequence set forth in SEQ ID NO:5 comprises "at least about 25 amino acid residues" so as to allow the metes and bounds of the claim to be determined. When is a amino acid sequence "at least about 25 amino acid residues" as compared to not to at least about "at least about 25 amino acid residues" so as to allow the metes and bounds of the claim to be determined. It is suggested the word "about" be removed to overcome the rejection. Further it is not clear which

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fragments would contain the claimed activity since the activity is not disclosed (see above). What is the activity of the polypeptide fragment?

Claim 14 is indefinite because the phrase "allelic variant" is not clearly defined so as to allow the metes and bounds of the claim be determined. The gene or allele encoding the polypeptide of SEQ ID NO:5 is not disclosed. The disclosed CDNA encoding the polypeptide of SEQ ID NO:5 is not a gene or allele. The term "variant" carries no weight in terms of structure and function and encompasses an unlimited number of alterations and reads on unrelated molecules. Therefore without the disclosure of the allele/gene encoding the polypeptide of SEQ ID NO:5 the metes and bounds of allelic variants and splice variants cannot be determined. There is no disclosure of the intron/exon structure of the gene encoding the polypeptide of SEQ ID NO:5. The specification does not disclose any allelic variants or splice variants.

Claim 15 is indefinite because it is not clear which amino acid residues in the amino acid sequence of SEQ ID NO:5, when changed, are considered "conservative substitutions" and the polypeptide retains an undisclosed activity, so as to allow the metes and bounds of the claim to be determined. The specification, page 19 and 20 discloses examples of "conservative substitutions" Neither the functional activity nor the biological activity of the claimed polypeptide disclosed. The amino acid residues that are essential for the functional activity or the biological activity of the claimed polypeptide are not disclosed. Without a disclosure of which specific amino acids are considered "conservative substitutions", the metes and bounds of the claim to be determined. There is no



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disclosure of the critical feature of the invention that is required for its functionality/activity. Therefore, without knowledge of which one or more amino acids can be changed without affecting the undisclosed activity of the polypeptide disclosed in SEQ ID NO:5 the metes and bounds of the claim cannot be determined.

Claim 15 is indefinite because it is not clear which amino acid residue insertion into the amino acid sequence of SEQ ID NO:5, produces a polypeptide with an undisclosed function, so as to allow the metes and bounds of the claim to be determined. The amino acid residues that are essential for the functional activity or the biological activity of the claimed polypeptide are not disclosed. There is no disclosure of the critical feature of the invention that is required for its functionality/activity. Therefore, without knowledge of which amino acid can be changed without affecting the undisclosed activity of the polypeptide disclosed in SEQ ID NO:5 the metes and bounds of the claim cannot be determined.

Claim 15 is indefinite because it is not clear which amino acid residue deletion into the amino acid sequence of SEQ ID NO:5, produces a polypeptide with an undisclosed function, so as to allow the metes and bounds of the claim to be determined. The amino acid residues that are essential for the functional activity or the biological activity of the claimed polypeptide are not disclosed. There is no disclosure of the critical feature of the invention that is required for its functionality/activity. Therefore, without knowledge of which amino acid can be changed without affecting the undisclosed activity of the polypeptide disclosed in SEQ ID NO:5 the metes and bounds of the claim cannot be determined.

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Claim 15 is indefinite because it is not clear which modification of specific amino acid residues of the amino acid sequence of SEQ ID NO:5, produces a polypeptide with an undisclosed function, so as to allow the metes and bounds of the claim to be determined. The activity is not disclosed. The amino acid residues that are essential for the functional activity or the biological activity of the claimed polypeptide are not disclosed. There is no disclosure of the critical feature of the invention that is required for its functionality/activity. Therefore, without knowledge of which amino acid can be changed without affecting the undisclosed activity of the polypeptide disclosed in SEQ ID NO:5 the metes and bounds of the claim cannot be determined.

Claim 16 is indefinite because it depends on a non-elected invention of claims 1, 2 and 3.

The term "derivative" is indefinite because it provides no information about the structure or function of the claimed polypeptide and encompasses an infinite number of possibilities.

Claims 17, 38, 39, 40, 42 and 43 are rejected for depending on an indefinite base claim.

6. ***Claim Rejections - 35 USC § 101 and 35 USC § 112, 1st paragraph***

The following is a quotation of 35 U.S.C. 101:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 9, 13-17, 38-43, 47 and 48 are rejected under 35 U.S.C. 101 because the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility.

A "specific utility" is a utility that is specific to the subject matter claimed, as opposed to a "general utility" that would be applicable to the broad class of the invention. A "substantial utility" is a utility that defines a "real world" use. Utilities that require or constitute carrying out further research to identify or reasonably confirm a "real world" context of use are not substantial utilities. A "well established utility" is a utility that is well known, immediately apparent, or implied by the specifications disclosure of the properties of a material, alone or taken with the knowledge of one skilled in the art. A "well established utility" must also be specific and substantial as well as credible.

Based on the record, there is not a "well established utility" for the claimed invention. Applicant has asserted utilities for the specifically claimed invention of claims 9, 13-17, 38-43, 47 and 48. The invention is directed to an isolated ABCL polypeptide comprising the amino acid sequence set forth in SEQ ID NO:5 and

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SEQ ID NO:6, variants and derivatives thereof. SEQ ID NO:5 has and additional 46 amino acid residues at the N-terminus as compared to SEQ ID NO:6.

The specification discloses the ABC transporter (ABCL) polypeptide (SEQ ID NO:5 and 6). The specification discloses that a variety of ABC transporters have been identified, and discloses that several ABC transporters have been implicated in the pathogenesis of disease (page 2). The specification further discloses an extensive list of disorders that may be associated ABC transporter dysfunction (page 76-78). Members of the ATP-Binding Cassette (ABC) transporter family are also highly divergent in their effects and ligand specificity. The outcome of the cellular signaling effect varies depending on the specific ABC transporter and the substrate transported. There is no experimental data provided as to the specific functionality of the claimed ABCL. There is no disclosure of the specific ligands that activate or bind it. There is no disclosure of the specific compound transported by claimed ABCL. Based on the homology data to ABC transporters ((ABCL has 54% and 49% amino acid sequence identity with ABC1 and ABCR, respectively, page 85) and a general classification into the superfamily of ABC transporters, the specification discloses that the claimed ABCL is useful for a variety of applications; including research, diagnostic, and therapeutic agent screening applications and treatment therapies. There is no clear nexus between any treatable diseases/disorders and use of claimed ABCL. There is no disclosure of the specific activity of the claimed ABC transporter or how to assay for said activity. In light of the specification, the skilled artisan cannot come to any conclusions as to the

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function of claimed ATP-Binding Cassette (ABC) transporter of SEQ ID NO:5 and 6.

The diversity in ABC transporter function and substrate specificity is disclosed in the specification page 2. The specification states, "The ATP-Binding Cassette (ABC) Transporter superfamily constitutes a large and diverse group of proteins that selectively mediate the movement of molecules across biological membranes. Over 100 ABC transporters have been identified, with the majority of these transporters being prokaryotic proteins. Although most members of this superfamily have some specificity for a particular substrate or group of related substrates, the number and types of substrates transported by the different members of the superfamily varies widely. For example, ABC transporters having substrate-specificity for proteins, sugars, peptides, polysaccharides, amino acids, and inorganic ions have been identified. Furthermore, some ABC transporters function to import substrates while other ABC transporters function to export substrates". The substrate-specificity for ABCL is unknown. Also, not known if it imports or exports substrate. Further, not known is if ABCL normal or dysfunctional.

The utility of the claimed protein cannot be implicated solely from the homology to the proteins known in the art because the art does not provide a teaching stating that all protein disclosed have the same activity, the same effects, the same ligands and or are involved in the same disease states. In light of the teaching of the specification and art, the skilled artisan cannot come to any conclusions as to the function of the claimed polypeptide. There is no disclosure

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provided within the instant specification as to what specific function the proteins of SEQ ID NO:5 and 6 possesses or how to specifically assay for such function. There are no ligands that bind the protein or promoters that activate it. Additionally, there are no target cell types/tissues disclosed and no disease states disclosed that are directly related to protein dysfunction.

The specification fails to disclose what disease is associated with the claimed ABC transporter dysfunction or what drugs affect the specifically claimed ABC transporter function. None of the claims, specification, or prior art disclose the ligand that binds claimed ABC transporter, the activity associated with claimed ABC transporter, how the activity is modulated, or how the modulation or activity is determined using specific assay steps. The claimed ABC transporter may have utility in the future, when it has been further characterized (e.g. its dysfunction or function correlated with a disease state) and its ligand or functionality determined. The inclusion in the family of ABC transporters does not constitute either a specific and substantial asserted utility or a well established utility for the claimed ABCL protein. This is analogous to the reasoning that all proteins/nucleic acid of ABC transporter proteins can be used as markers on a gel.

The specification discloses that the claimed ABC transporters are useful in screening but does not disclose what the claimed ABC transporters specifically regulate or what specific disease the claimed ABC transporter is a target for. What would be the use of using the claimed ABC transporter in a panel for drug screening? It has no known ligand or known function and so is an "orphan".

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How would one use compounds that interact with said orphan ABC transporter?

The specification provides a diverse list of disease states that may be involved in ABC transporter dysfunction. It is unpredictable what ligands would bind or be transported by ABCL and what the result of such binding or transport would be.

Further, the functional effects of ligand binding and compound transport may remain uncertain even after extensive experimentation. What is the utility for a ligand having no known function, that binds to an ABCL of no known function?

The ordinary artisan can only speculate as to the utility for the ligand and ABCL.

No utility to orphan ABCL can be assigned without knowledge of what disease is associated with ABCL dysfunction or what drugs/ligands affect a ABCL function.

The members of the superfamily of ABC transporters are highly divergent in their effects and compound specificity. The utility of the claimed ABC transporter cannot be implicated solely from homology to known ABC transporters or their protein domains because the art does not provide a teaching stating that all members of the family of ABC transporters necessarily must have the same effects, have the same ligands or are involved in the same disease states. In

fact, the art discloses evidence to the contrary. Appellants have used protein homology to predict the activity of the protein. The utility of the claimed ABC transporter cannot be implicated solely from homology to known ABC transporters or their protein domains because the art does not provide a teaching that all members of family of ABC transporter must have the same effects, the same ligands, and be involved in the same disease states.

Bork (Nature Genetics, Vol. 18, pages 313-318, 1998) provides a review disclosing the problems of using homology detection methods to assign function to related members of a family. Bork discloses: a) "While current homology detection methods can cope with data flow, the identification, verification and annotation of functional features need to be drastically improved" (page 313, column 1, Abstract), b) there are two bottle necks that need to be overcome en route to efficient functional predictions from protein sequences, i.e., "First, there is the lack of a widely accepted, robust and continuously updated suite of sequence analysis methods integrated into a coherent and efficient prediction system. Second, there is considerable 'noise' in the presentation of experimental information, leading to insufficient or erroneous function assignment in sequence databases" (page 313, column 1, third paragraph), c) "In-depth analysis of protein sequences often results in functional predictions not attained in the original studies" (page 313, column 2, last paragraph), d) "---- more often than not, it is clear that the cellular role of the protein in question differs from that of the detected homologue(s) and there is currently no automatic means to establish how much functional information can be legitimately transferred by analogy from homologue to the query" (page 315, column 2, last paragraph), and e) pertaining to predictions of protein function, "do not simply transfer functional information from the best hit. The best hit is frequently hypothetical or poorly annotated; other hits with similar or even lower scores may be more informative; and even the best hit may have a different function". While "many proteins are multi functional, assignment of a single function, which is still common in genome



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projects, results in loss of information and outright errors". "It is typical that the general function of a protein can be identified easily but the prediction of substrate specificity is unwarranted; for example, many permeases of different specificity show approximately the same level of similarity to each other" (page 316). Karp (Bioinformatics, Vol 14, No.9, pages 753-754, 1998) has disclosed the problems of using functional prediction based on homology analysis. Karp states, a) "Although we know the accuracy with which sequence homologs can be determined, we know little about the accuracy of the overall process of assigning function by homology (page 753, column 2, second paragraph), b) "We have more faith in the correctness of those sequences whose functions we determined experimentally, rather than through computational means (page 753, column 2, last paragraph), and c) "research is required to estimate the error rate of functional annotation by different methods of computational sequence analysis" (page 754, column 2, last paragraph). Bork (Current Opinion in Structural Biology, Vol 8, pages 331-332, 1998), discusses the problems with deriving biological knowledge from genomic sequences stating, "structural similarity does not lead to iron-clad functional predictions" (page 331, column 2 last paragraph), " does not necessarily mean a common evolutionary origin" (page 332, column 1, second paragraph), and "Today, what we predict from sequences is at best fragmentary and qualitative" (page 332, column 2, second paragraph). In summary the references discussed above disclose the unpredictability of assigning a function to a particular protein based on homology,

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especially one that belongs to the family ABC transporter which has very different ligand specificity and functions.

It can be argued the claimed ABC transporter protein is useful as a tool, as a reagent, and as a molecular target in the diagnosis and treatment of ABC transporter mediated disorders. All members of the ABC transporter protein family have a utility in selectively screening of candidate drugs that target ABC transporters. However, for a utility to be "well-established" it must be specific, substantial and credible. In this case all ABC transporters are in some combination useful in selective screening of candidate drugs that target ABC transporter and in toxicology testing; however, the particulars of screening for candidate drugs that target the claimed ABC transporters, and in toxicology testing are not disclosed in the instant specification. None of the candidate drugs, toxic substances or the susceptible organ systems are identified. Therefore, this is a utility which would apply to virtually every member of a general class of materials, such as any collection of proteins or DNA. Because of this, such a utility is not specific and does not constitute a "well-established" utility. Further, because any potential diagnostic utility is not yet known and has not yet been disclosed, the utility is not substantial because it is not currently available in practical form. Moreover, use of the claimed protein for screening compounds that are a target for claimed ABC transporters protein is only useful in the sense that the information that is gained from the assay and is dependent on the effect it has on the protein, and says nothing with regard to each individual ABC transporter family. Again, this is a utility which would apply to virtually ever

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member of a general class of materials, such as any collection of proteins or DNA. Even if the expression of Applicants' individual ABC transporter protein is affected by a test compound in an assay for drug screening, the specification does not disclose any specific and substantial interpretation for the result, and none is known in the art. Given this consideration, the instantly claimed method of using ABC transporter protein has no "well-established" use. The artisan is required to perform further experimentation on the claimed ABC transporter protein itself in order to determine to what "use" any information regarding this protein could be put.

With regard to diagnosis of disease, in order for a protein to be useful, as asserted, for diagnosis of a disease, there must be a well-established or disclosed correlation or relationship between the claimed ABC transporter protein and a disease or disorder. The presence of the claimed ABC transporter protein in tissue is not sufficient for establishing a utility in diagnosis of disease in the absence of some information regarding a correlative or causal relationship between the expression of the claimed ABC transporter protein and the disease. If a molecule is to be used as a surrogate for a disease state, some disease state must be identified in some way as associated with the molecule. There must be some expression pattern that would allow the claimed ABC transporter protein to be used in a diagnostic manner. Many proteins are expressed in normal tissues and diseased tissues. Therefore, one needs to know, e.g., that the claimed ABC transporter protein is either present only in, e.g. cancer tissue to the exclusion of normal tissue or is expressed in higher levels in diseased tissue compared to

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normal tissue (i.e. over expression). Evidence of a differential expression might serve as a basis for use of claimed ABC transporter protein as a diagnostic for a disease. However, in the absence of any disclosed relationship between the claimed ABC transporter protein and any disease or disorder and the lack of any correlation between the claimed ABC transporter protein with any known disease or disorder, any information obtained from an expression profile would only serve as the basis for further research on the observation itself. "Congress intended that no patent be granted on a chemical compound whose sole 'utility' consists of its potential role as an object of use-testing." *Brenner*, 148 USPQ at 696. The disclosure does not present a substantial utility that would support the requirement of 35 U.S.C. §101.

Further, the ABC transporter family to which the polypeptide of SEQ ID NO:5 and 6 belong is a family in which the members have divergent functions. Although, ABC family members have the ability for ATP hydrolysis, assignment to this family does not support an inference of utility because the members are not known to transport the same compound. The ability to hydrolyze ATP provides energy for compound transport but discloses nothing about the substrate transported. There are some protein families for which assignment of a new protein in that family would convey a specific and utility to that protein. For example, some families of enzymes such as proteases, ligases, telomerases, etc. share activities due to the particular specific biochemical characteristics of the members of the protein family such as non-specific substrate requirements, that are reasonably imputed to isolated compositions of any member of the

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family. Without some common biological activity for the family members, a new member would not have a specific or substantial utility when relying only on the fact that it has structural similarity to the other family members. The members of the family have different biological activities, which may be related to tissue distribution but there is no evidence that the claimed compounds share any one of diverse number of activities. That is, no activity (i.e. substrate transported) is known to be common to all members. To argue that all the members can be used for drug screening, toxicology testing and diagnosis, is to argue a general, nonspecific utility that would apply to virtually every member of the family, contrary to the evidence. Further, any compound could be considered as a regulator or modulator of tissue in that any compound, if administered in the proper amount, will stimulate or inhibit tissue. For example, salt, ethanol, and water are all compounds which will kill cells if administered in a great enough amount, and which would stimulate cells from which these compounds had been withheld, therefore, they could be considered regulators or modulators of tissue. However, use of these compounds for the modulation of tissue would not be considered a specific and substantial utility unless there was some disclosure of, for example, a specific and particular combination of compound/composition and application of such in some particular environment of use.

Without knowing a biological significance of the claimed ABC transporter protein, one of ordinary skill in the art would not know how to use the claimed invention in its currently available form in a credible "real world" manner based on the diversity of biological activities possessed by the ABC transporter family.

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Contrast *Brenner*, 148 USPQ at 694 (despite similarity with adjacent homologue, there was insufficient likelihood that the steroid would have similar tumor-inhibiting characteristics), with *In re Folkers*, 145 USPQ 390, 393 (CCPA 1965) (some uses can be immediately inferred from a recital of certain properties) or *In re Brana*, 34 USPQ 1436, 1441 (Fed. Cir. 1995) (evidence of success in structurally similar compounds is relevant in determining whether one skilled in the art would believe an asserted utility; here, an implicit assertion of a tumor target was sufficiently specific to satisfy the threshold utility requirement).

The assertion that the claimed invention has utility in drug screening, drug development and disease diagnosis, do not meet the standards for a specific, substantial or well-established utility for reasons set forth above. None of the utilities identified have been demonstrated to be specific to the polypeptide of SEQ ID NO:5 and 6. One of ordinary skill in the art must understand how to achieve an immediate and practical benefit from the claimed species based on the knowledge of the class. However, no practical benefit has been shown for the use of the polypeptide SEQ ID NO:5 AND 6. Applicant has failed with respect to claimed ABC transporter protein, has not described the family of ABC transporter in enough detail to show, by a preponderance of the evidence, that the polypeptide of SEQ ID NO:5 AND 6 has any substantial use. The record shows that the family of ABC transporters is diverse, and has such a broad definition, that a "common utility" cannot be defined. Moreover, the evidence of record is inadequate to determine the disease(s), drug(s) or toxicological screen(s) for which the compounds would be useful. In *Brenner*, the Court

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approved a rejection for failure to disclose any utility for a compound where the compound was undergoing screening for possible tumor-inhibiting effects and an adjacent homologue of the compound had proven effective. *Brenner*, 148 USPQ at 690. Here, there is no evidence that the claimed isolated compounds have any utility.

For all the above reasons, the disclosure is insufficient to teach one of skill in the art how to use the invention.

The use of the claimed invention for toxicology testing, drug discovery, and disease diagnosis are not substantial utilities. The question at issue is whether or not the broad general assertion that the claimed ABC transporter protein might be used for some diagnostic application in the absence of a disclosure of which diagnostic application would be considered to be an assertion of a specific, substantial, and credible utility. For reasons set forth above the disclosure satisfies none of the three criteria. See *In re Kirk*, 153 USPQ 48, 53 (CCPA 1967) (quoting the Board of Patent Appeals, 'We do not believe that it was the intention of the statutes to require the Patent Office, the courts, or the public to play the sort of guessing game that might be involved if an applicant could satisfy the requirements of the statutes by indicating the usefulness of a claimed compound in terms of possible use so general as to be meaningless and then, after his research or that of his competitors has definitely ascertained an actual use for the compound, adducing evidence intended to show that a particular specific use would have been obvious to men skilled in the particular art to which this use relates.')

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The prior rejection under § 101 followed *Brenner v. Manson*. In that case, the absence of a demonstrated specific utility for the claimed steroid compound was not ameliorated by the existence of a demonstrated general utility for the class. Unlike *Fujikawa v. Wattanasin*, where there were pharmaceutically acceptable in vitro results, here, there is nothing other than relatively low levels of sequence homology to a broad and diverse family of proteins having distinct modes of activity, and no disclosed common mode of action. A rejection under § 112, first paragraph, may be affirmed on the same basis as a lack of utility rejection under § 101. See, e.g., *In re Swartz*, 56 USPQ2d 1703 (Fed. Cir. 2000); *In re Kirk*, 153 USPQ 48 (CCPA 1967).

4. Claims 19, 13-17, 38-43, 47 and 48 are also rejected under 35 U.S.C. 112, first paragraph. Specifically, since the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility for the reasons set forth above, one skilled in the art clearly would not know how to use the claimed invention. Since neither the specification nor the art of record disclose any activities or properties that would constitute a “real world” context of use for the claimed ABC transporter (SEQ ID NO:5 and 6) further experimentation is necessary to attribute a utility to the claimed ABC transporter. Specifically, since the claimed invention is not supported by either a specific or substantial asserted utility or a well established utility for the reasons set forth above, one skilled in the art would not know how to use the claimed invention so that it would operate as intended without undue experimentation.



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Furthermore, the invention appears to employ novel biological materials, specifically the cDNA deposited under ATCC accession number PTA-3109, PTA-3110 and PTA-3111. Since the biological materials are essential to the claimed invention they must be obtainable by a repeatable method set forth in the specification or otherwise readily available to the public. If the biological materials are not so obtainable or available, the requirements of 35 U.S.C. 112 may be satisfied by a deposit of the biological materials. The specification does not disclose a repeatable process to obtain the biological materials and it is not apparent if the biological materials are readily available to the public. It is noted that Applicant has not shown that the biological materials are deposited. There is no indication in the specification as to public availability. If the deposit is made under the Budapest Treaty, then an affidavit or declaration by Applicant, or a statement by an attorney of record over his or her signature and registration number, stating that the specific biological materials have been deposited under the Budapest Treaty and that the biological materials will be irrevocably and without restriction or condition released to the public upon the issuance of a patent, would satisfy the deposit requirement made herein. If the deposit has not been made under the Budapest Treaty, then in order to certify that the deposit meets the criteria set forth in 37 C.F.R. 1.801-1.809, Applicant may provide assurance of compliance by an affidavit or declaration, or by a statement by an attorney of record over his or her signature and registration number, showing that:

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- (a) during the pendency of this application, access to the invention will be afforded to the Commissioner upon request;
- (b) all restrictions upon availability to the public will be irrevocably removed upon granting of the patent;
- (c) the deposit will be maintained in a public depository for a period of 30 years or 5 years after the last request or for the effective life of the patent, whichever is longer;
- (d) a test of the viability of the biological material at the time of deposit will be made (see 37 C.F.R. 1.807)., and
- (e) the deposit will be replaced if it should ever become unviable.

Applicant's attention is directed to M.P.E.P. 2400 in general, and specifically to 241 1.05, as well as to 37 C.F.R. 1 .809(d), wherein it is set forth that the specification shall contain the accession number for the deposit, the date of the deposit, the name and address of the depository, and a description of the deposited material sufficient to specifically identify it and to permit examination." The specification should be amended to include this information, however, Applicant is cautioned to avoid the entry of new matter into the specification by adding any other information. Finally, Applicant is advised that the address for the ATCC has recently changed, and that the new address should appear in the specification. The new address is:

American Type Culture Collection  
10801 University Boulevard  
Manassas, VA 201 10-2209

9. Claims 9, 13, 14, 15, 16,17, 38, 39, 41-43 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The claims are drawn to polypeptide variants of the protein disclosed in SEQ ID NO:5, said variants may be unrelated, structurally and functionally, to the protein encoded by SEQ ID NO:5. The common function of the polypeptide of SEQ ID NO:5, which is based upon a common property or critical technical feature of the genus claimed is not disclosed. The claims, as written, encompass polypeptides which vary substantially in length and also in amino acid composition. The instant disclosure of the polypeptide of SEQ ID NO:5 does not adequately describe the scope of the use of the claimed genus, which encompasses a substantial variety of subgenera including proteins, polypeptides, derivatives, allelic variants, chimeric constructs, fusion constructs, fragments, variants etc. The claims also encompass polypeptides with at least about 70% identity to SEQ ID NO:5 and fragments of SEQ ID NO:5. The claims require that a) polypeptide possess an activity but the activity is not disclosed, or b) polypeptide possess no specific activity. Essentially the claims are drawn to a genus of polypeptides that is defined only by sequence identity because no activity that relates structure to function is disclosed.

To provide evidence of possession of a claimed genus, the specification must provide sufficient distinguishing identifying characteristics of the genus. The factors to be considered include disclosure of complete or partial structure, physical and/or chemical properties, functional characteristics, structure/function correlation, methods of making the claimed product, or any combination thereof. In this case, the only factor present in the claim is a partial structure in the form of a recitation of percent identity. There is no identification of any particular portion of the structure of the polypeptide of SEQ ID No:5 that must be conserved for activity. Accordingly, in the absence of sufficient recitation of distinguishing identifying characteristics, the specification does not provide adequate written description of the claimed genus.

Naming a type of material generically known to exist, in the absence of knowledge as to what that material consists of, is not a description of that material. When one is unable to envision the detailed constitution of a complex chemical compound having a particular function, such as a protein or nucleic acid, so as to distinguish it from other materials, as well as a method for obtaining it, conception has not been achieved until reduction to practice has occurred, i.e., until after the protein or nucleic acid has been isolated. Thus, claiming all proteins or DNA's that achieve a result without defining what means will do so is not in compliance with the description requirement. Rather, it is an attempt to preempt the future before it has arrived. The claims recite a broad arbitrary structural relationship between the claimed polypeptide sequence and the single disclosed species of amino acid sequence. The claims are not even

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directed to a polypeptide with a particular functional activity. Therefore, non-functional or functionally unrelated proteins to ABCL are encompassed by the claims. The recited structural relationships are arbitrary since neither the specification nor the prior art discloses any definitive relationship between protein function and % identity or homology at either the nucleotide or amino acid level; and the specification does not describe a single species of functional protein that is not 100% identical to the recited amino acid sequence.

While one of skill in the art can readily envision numerable species of amino acid sequences that are at least a given % identity to a reference polypeptide sequence, one cannot envision which of these also encode a polypeptide with a specific activity of the protein of SEQ ID NO:5 and 6. The fact remains that the actual protein, with a particular activity, or the actual amino acid sequences of such a protein *cannot* be envisioned any better when the possible choices are narrowed from all possible sequences to all possible sequences with an arbitrary structural relationship with a known functional less sequence. For example, if one skilled in the art were to make a synthetic polypeptide sequence with 90% identity to the reference amino acid sequence, he would be no more able to say whether it was a functional polypeptide belonging to the claimed genus than a polypeptide that was only 10% identical to the reference polypeptide sequence. Nor would he be able to say whether the sequence existed in nature.

To put the situation in perspective, the number of possible amino acid sequences of 100 amino acids in length is  $20^{100}$  (approx.  $10^{130}$ ). The number of

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possible amino acid sequences that are of a given %identity relative to a reference sequence, where all differences between the possible sequences and the reference sequence are substitutions, can be calculated by the following formula:

$$N = XL + X^2L(L-1)/2! + X^3L(L-1)(L-2)/3! + \dots + X^{n-1}L(L-1)(L-2)\dots(L-(n-2))/(n-1)! + X^nL(L-1)(L-2)\dots(L-(n-1))/n!$$

where N is the number of possible sequences, X is the number of different residues that can be substituted for a residue in the reference sequence, L is the length of the reference sequence, n is the maximum number of residues that can be inserted, deleted or substituted relative to the reference sequence at a given % identity. For an amino acid sequence, X is 19 (alternate amino acids).

For a 100 amino acid sequence that is at least 90% identical to a reference sequence of 100 amino acids, the number of possible sequences having 9 amino acid substitutions relative to the reference (the penultimate term of the formula) is approximately  $6 \times 10^{23}$ . Whereas the number of possible sequences having 10 amino acid substitutions relative to the reference (the final term of the formula) is approximately  $1.1 \times 10^{26}$ . So the last term is approximately equal to N, i.e. the preceding terms contribute little to the total. It can also be shown that N can be approximated by the formula  $X^nL^n/n!$ , where  $n \ll L$ . Using this formula to approximate N in this example gives a value of  $1.7 \times 10^{26}$ .

In the present case, the reference amino acid sequence, SEQ ID NO 5, is 2146 amino acids long. Using the approximation formula, the number of possible amino acid sequences that are at least eg 70% identical to the reference amino

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acid would be much larger than  $6 \times 10^{23}$ . While limiting the scope of potential sequences to those that are at least eg 70% identical to a reference greatly reduces the number of potential sequences to test, it does not do so in any meaningful way. All of these values greatly exceed the estimated number of atoms in the universe ( $10^{70}$  to  $10^{90}$ ). Thus, limiting the claims by the recited structural relationships merely reduces the degree of impossibility of making and testing sequences for those which are functional proteins encompassed by the claims. Therefore, inclusion of the structural relationships in the claim does not distinguish the instant fact situation from those reviewed in *Amgen*, *Fiers*, and *Regents of the Univ. Calif.*

The specification does not provide any information on what amino acid residues are necessary and sufficient for a functional activity. The specification also provides no teachings on what amino acid sequence modifications, e.g. insertions, deletions and substitutions, would be permissible in an active ABCL polypeptide that would improve or at least would not interfere with the biological activity or structural features necessary for the biological activity and stability of the protein. Since there are no other examples of proteins that have structural homology with SEQ ID NO:5 and a defined activity, it is not possible to even guess at the amino acid residues which are critical to its structure or function based on sequence conservation. Therefore one cannot predict variant amino acid sequences for a biologically active polypeptide. Rather one must engage in "case to case painstaking experimental study" to determine active ABCL variants. Consequently, excessive trial and error experimentation would have

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been required to identify the necessary nucleic acid sequence derivatives encoding a biologically active ABCL with an amino acid sequence differing from SEQ ID NO:5 since the amino acid sequence of such polypeptides could not be predicted.

The specification discloses only one putative amino acid sequences, SEQ ID NO:5, for a polypeptide having the necessary properties for the disclosed uses, and provides no guidance on obtaining functional polypeptide variants of SEQ ID NO:18 encoded by SEQ ID NO:17 which would be suitable.

*Vas-Cath Inc. v. Mahurkar*, 19USPQ2d 1111 , clearly states that applicant must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention. The invention is, for purposes of the "written description" inquiry, whatever is now claimed." (See page 1117.) The specification does not "clearly allow persons of ordinary skill in the art to recognize that (he or she) invented what is claimed." (See *Vas-Cath* at page 1116). As discussed above, the skilled artisan cannot envision the detailed chemical structure of the encompassed genus of polynucleotides, and therefore conception is not achieved until reduction to practice has occurred, regardless of the complexity or simplicity of the method of isolation. Adequate written description requires more than a mere statement that it is part of the invention and reference to a potential method of isolating it. The compound itself is required. See *Fiers v. Revel*, 25 USPQ2d 1601 at 1606 (CAFC1993) and *Amgen Inc. v. Chugai Pharmaceutical Co. Ltd.*, 18 USPQ2d 1016.



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One cannot describe what one has not conceived. See *Fiddes v. Baird*, 30 USPQ2d 1481 at 1483. In *Fiddes*, claims directed to mammalian FGF'S were found to be unpatentable due to lack of written description for that broad class. The specification provided only the bovine sequence.

Therefore, only isolated polypeptide comprising the amino acid sequence set forth in SEQ ID NO: 5 and 6 but not the full breadth of the claims meets the written description provision of 35 U.S.C.112, first paragraph. Applicant is reminded that Vas-Cath makes clear that the written description provision of 35 U.S.C. 112 is severable from its enablement provision (see page 1 115).

There is no disclosure of the compound transported by the claimed genus polypeptides. The claimed polypeptide is essentially is an orphan transporter whose activity, associated function and activating ligands have not been disclosed. The neither specification nor prior art provide a specific assay for the genus claimed. Polypeptides comprising variants of ABCCL may be completely unrelated to the protein of SEQ ID NO:5 or polypeptide of SEQ ID NO:6 The complexity of assigning a function and membership into a the genus of proteins is highlighted by Bork and Karp (discussed above), who disclose assigning function by homology is unpredictable by using the complete sequence of an protein, let alone using variants which may not have any domains related to a particular function. Neither the claims nor the specification disclose what specific biological activity is associated with the claimed polypeptide or the special technical feature encompassed by specific domains associated with a specific activity of the claimed genus. The superfamily of transporters are

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specialized proteins designed for chemical recognition of ligands, transport of specific compounds, and subsequent transduction of information encoded in those ligands/compounds to the machinery of the cell. Transporters interact with many diverse compounds having diverse effects. The important features which would help to define the ABCL activity and define the genus claimed have not been disclosed in the specification nor prior art. Further the activity transduced is not disclosed or how it relates structure to function.

The claims encompass nucleic acids encoding proteins which are structurally and functionally unrelated to the protein of SEQ ID NO:5. Therefore instant specification fails to provide sufficient descriptive information, such as definitive structural/ functional features of the claimed genus of polypeptides/polynucleotides. There is no description of the conserved regions which are critical to the structure and function of the genus claimed. The neither specification nor claims disclose the specific activity of the "orphan ABCL " of instant invention, how it is assayed, nor a description of the conserved regions which are critical to the structure and function of the genus claimed. Further allelic variants, derivatives, orthologs, splice variants, fragments comprising undefined amino acids lacking a critical structural feature of the invention composition comprising claimed ABCL polypeptide are also rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. It is also noted that the gene encoding

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the ABCL has not been isolated, therefore Applicants were not in possession of allelic variants or splice variants. Not a single mutation was constructed which contained a defined activity allowing transport of a specific substrate.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

10. Claims 9, 14, 15, 16, 17 and 41 rejected under 35 U.S.C. 102(b) as being anticipated by Luciani, R. et al, Database PIR-79, Accession No. A54774, April 5, 1995).

.Luciani discloses a polypeptide which has 49.6% query match and 49.6% identity to the polypeptide of SEQ ID NO:5. The polypeptide disclosed by Luciani is a) fragment comprising at least about 25 amino acid residues SEQ ID NO: 5, wherein the fragment is antigenic; b) a polypeptide with the amino acid sequence as set forth in SEQ ID NO: 5 with at least one modification selected from the group consisting of amino acid substitutions, amino acid insertions, amino acid deletions, C-terminal truncation, and N-terminal truncation, c) the derivative of the polypeptide set forth in any of SEQ ID NO: 5. Further the polypeptide

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disclosed by Luciani is classified as an ABC transporter and therefore has the activity of an ABC transporter.

Therefore the limitations of claims 9, 14, 15, 16, 17 and 41 are met by the disclosure of Luciani, absent evidence to the contrary.

11. Claims 9, 14, 15, 16, 17, 38, 39 and 41 rejected under 35 U.S.C. 102(e) as being anticipated by Hayden. et al, US Patent 6,617,122).

Hayden discloses a polypeptide (SEQ ID NO:1) which has 51.8% query match and 50.3% identity to the polypeptide of SEQ ID NO:5. The polypeptide disclosed by Hayden is a) fragment comprising at least about 25 amino acid residues SEQ ID NO: 5, wherein the fragment is antigenic; b) a polypeptide with the amino acid sequence as set forth in SEQ ID NO: 5 with at least one modification selected from the group consisting of amino acid substitutions, amino acid insertions, amino acid deletions, C-terminal truncation, and N-terminal truncation, c) the derivative of the polypeptide set forth in any of SEQ ID NO: 5. Further the polypeptide disclosed by Luciani is classified as an ABC transporter and therefore has the activity of an ABC transporter. Also Hayden discloses compositions of the polypeptide may contain pharmaceutically acceptable formulation agents (carrier, adjuvant, solubilizer, stabilizer or anti-oxidant) such as water, saline, polyethylene glycols such as polyethylene glycol (column 40). Therefore the limitations of claims 9, 14, 15, 16, 17, 38, 39 and 41 are met by the disclosure of Hayden, absent evidence to the contrary.

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12. No claim is allowed

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nirmal S. Basi whose telephone number is 571-272-0868. The examiner can normally be reached on 9:00 AM-5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony C. Caputa can be reached on 571-272-0829. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Nirmal Basi  
Art Unit 1646  
February 22, 2005

  
JANET ANDRES  
PRIMARY EXAMINER